IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter G. Klimko

David P. Bingaman

Serial No.: 10/697,135

Conf. No.: (9753)

Filed: October 30, 2003

Examiner: Z. Fay

Group Art Unit: 1618

For:

HISTONE DEACETYLASE INHIBITORS FOR THE TREATMENT OF OCULAR

NEOVASCULAR OR EDEMATOUS DISORDERS AND DISEASES

TERMINAL DISCLAIMER UNDER 37 C.F.R. §1.321(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Alcon, Inc. is the owner of the entire interest in the above-identified patent application ("Present Application") and copending U.S. Patent Application Serial Nos. 10/531,747, 10/694,309; and 11/836,309, ("Reference Applications"). The title history of the present Application and the copending Reference Applications is demonstrated by the attached Assignment documents and associated Notices of Recordation.

Alcon, Inc. (hereinafter referred to as "Alcon") hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the Present Application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, of any patents granted on the Reference Applications. Alcon hereby agrees that any patent so granted on the Present Application shall be enforceable only for and during such period that it, the Reference Applications, and any patents issuing therefrom are commonly owned. This agreement runs with any patent granted on the Present Application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, Alcon does not disclaim the terminal part of any patent granted on the Present Application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of patents granted based on the Related Applications, as presently shortened by any terminal disclaimer, in the event that any patent based on either of the Reference Applications subsequently: (1) expires for failure to pay a maintenance fee, (2) is held unenforceable, (3) is found invalid by a court of Klimko, et al.

Serial No.: 10/697,135 (Conf. 9753)

Filed: October 30, 2003

competent jurisdiction, (4) is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, (5) has all claims canceled by a reexamination certificate, (6) is reissued, or (7) is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the Untied States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted.

ALCON, INC.

Registration No. 50,692

Attachments

- 1. Notice of recordation for USSN 10/531,747
- 2. Assignment of USSN 10/531,747, recorded at Reel 017416, Frame 0359
- 3. Notice of recordation for USSN 10/694,309
- 4. Assignment of USSN 10/694,309, recorded at Reel 014652, Frame 0756
- 5. Notice of Recordation for USSN 11/836,309
- Assignment of USSN 11/836,309, recorded at Reel 019672, Frame 0888

Address for Correspondence: Jason J. Derry IP Legal, Mail Code TB4-8 Alcon Research, Ltd. 6201 South Freeway Fort Worth, Texas 76134-2099

Phone: (817) 615-5330

Attorney Docket No.: 2439 US



UNITED STATES PATENT AND TRADEMARK OFFICE

CORRECTED NOTICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 02, 2006

PTAS

TERESA J. SCHULTZ ALCON RESEARCH, LTD. R&D LEGAL COUNSEL 6201 S. FREEWAY, Q-148 FORT WORTH, TX 76134-2099

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/18/2005

REEL/FRAME: 017416/0359 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HELLBERG, PEGGY E.

DOC DATE: 04/15/2005

ASSIGNEE:

ALCON, INC.

P.O. BOX 62, BOSCH 69

HUNENBERG, SWITZERLAND CH-6331

SERIAL NUMBER: 10531747 PATENT NUMBER:

FILING DATE: 04/18/2005

ISSUE DATE:

TITLE: HISTONE DEACETYLASE INHIBITORS FOR TREATING DEGENERATIVE DISEASES

OF THE EYE

MAURICE CARTER, PARALEGAL ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

Form PTO-15 95 (Rev. 03/05) OMB No. 0651-0027 (exp. 6/30/2005)	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office
RECORDATION FO	
	e record the attached documents or the new address(es) below.
1. Name of conveying party(les)	2. Name and address of receiving party(les)
Peggy E. HELLBERG	Name:Alcon, Inc.
1 oggy C 11 C C C C C C C C C C C C C C C C C	Internal Address:
Additional name(s) of conveying party(les) attached? Yes V No	
3. Nature of conveyance/Execution Date(s):	Street Address: P.O. Box 62, Bösch 69
Execution Date(s) 4/15/2005	
Assignment Merger	115 applier
Security Agreement Change of Name	City: Hünenberg
Joint Research Agreement	State:
Government Interest Assignment	Country: Switzerland Zip; CH-6331
Executive Order 9424, Confirmatory License	_
Other	Additional name(s) & address(es) attached? ☐ Yes ✓ No
4. Application or patent number(s):	document is being filed together with a new application. B. Patent No.(s)
	tached? Yes No
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:1
Name: Teresa J. Schultz	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.
Internal Address: Alcon Research, Ltd.	Authorized to be charged by credit card
R&D Legal Counsel	Authorized to be charged to deposit account
Street Address: 6201 S. Freeway, Q-148	Enclosed
	None required (government interest not affecting title)
City: Fort Worth	8. Payment Information
State: TX Zip: 76134-2099	a. Credit Card Last 4 Numbers Expiration Date
Phone Number: 817-551-4321	1
Fax Number: 817-551-4610	b. Deposit Account Number 501051
Email Address: Teresa Schultz@AlconLabs.com	Authorized User NameTeresa J. Schultz
	12 Amil 2005
9. Signature: Juma Oxumba Signature	Date
Teresa J. Schultz	Total number of pages including cover 3
Name of Person Signing	sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (703) 308-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peggy E. HELLBERG

Serial No. 10/531,747 (Conf. #3474)

Filed: 18 April 2005

Document ID No.: 103157394A

For: HISTONE DEACETYLASE INHIBITORS FOR

TREATING DEGENERATIVE DISEASES OF

THE EYE

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No. 703-308-7124) on <u>21 April 2006</u>.

Name: Barbara McKenzie

Signature: Bashala Makenezie

REQUEST FOR CORRECTION IN NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

U.S. Patent And Trademark Office Mail Stop: Assignment Services Branch P.O. Box 1450 Alexandria, VA 22313

Sir:

The enclosed is a true copy of the original Assignment, copy of the Recordation Form Cover Sheet and copy of Notice Of Recordation Of Assignment Document dated April 5, 2006 received from the Patent Office indicating that the Assignment was recorded on April 18, 2005, under Reel/Frame 017416/0359 as Serial No. 10/531,747. In reviewing the information contained in the Notice Of Recordation we note the Assignee's address is wrong. The correct spelling of the city's name should be listed as follows (change is indicated by underline and bold print):

HÜ<u>N</u>ENBERG, SWITZERLAND

Applicants respectfully request that a corrected Notice to be issued and sent to Applicants' attorney of record.

Respectfully submitted,

ALCON RESEARCH, LTD.

Teresa . Schultz

Registration No. 40,526

Address for Correspondence: Teresa J. Schultz R&D Counsel, Q-148 Alcon Research, Ltd. 6201 South Freeway Fort Worth, TX 76134-2099 Phone: (817) 551-4321

Attorney Docket No.: 2395 US F

d 1/24/06



UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 05, 2006

PTAS



TERESA J. SCHULTZ ALCON RESEARCH, LTD. R&D LEGAL COUNSEL 6201 S. FREEWAY, O-148 FORT WORTH, TX 76134-2099

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RECORDATION DATE: 04/18/2005

REEL/FRAME: 017416/0359

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HELLBERG, PEGGY E.

DOC DATE: 04/15/2005

ASSIGNEE:

ALCON, INC.

P.O. BOX 62, BOSCH 69

HUENDERG, SWITZERLAND CH-6331

UNENDERO

SERIAL NUMBER: **⁴**90531747

PATENT NUMBER:

FILING DATE: 04/18/2005

ISSUE DATE:

TITLE: HISTONE DEACETYLASE INHIBITORS FOR TREATING DEGENERATIVE DISEASES

OF THE EYE

RECEIVED

APR 17 2006

R & D COUNSEL

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 03/05) OMB No. 0651-0027 (exp. 6/30/2005) United States Patent and Trademark Office RECORDATION FORM COVER SHEET PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies) Alcon, Inc. Name: Peggy E. HELLBERG Internal Address: Additional name(s) of conveying party(ies) attached? Yes 🗹 No Street Address: P.O. Box 62, Bösch 69 3. Nature of conveyance/Execution Date(s): Execution Date(s)_____ 4/15/2005 ✓ Assignment Merger City: Hünenberg ___ Change of Name Security Agreement Joint Research Agreement State: Government Interest Assignment Country: Switzerland Zip: CH-6331 Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Yes V No 4. Application or patent number(s): This document is being filed together with a new application. B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? Yes ✓ No 6. Total number of applications and patents 5. Name and address to whom correspondence involved: 1 concerning document should be mailed: Name: Teresa J. Schultz 7. Total fee (37 CFR 1.21(h) & 3.41) \$___ 40. Alcon Research, Ltd. Internal Address: Authorized to be charged by credit card R&D Legal Counsel ✓ Authorized to be charged to deposit account Enclosed Street Address: 6201 S. Freeway, Q-148 None required (government interest not affecting title) 8. Payment Information City: ______Fort Worth a. Credit Card Last 4 Numbers _____ Expiration Date Phone Number: 817-551-4321 b. Deposit Account Number ____ 501051 Fax Number: 817-551-4610 Authorized User Name Teresa J. Schultz

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Date

Total number of pages including cover

sheet, attachments, and documents:

Signature

Teresa.Schultz@AlconLabs.com

Teresa J. Schultz

Name of Person Signing

Email Address:

9. Signature:

ASSIGNMENT

WHEREAS I am the below named inventor of the invention entitled:

"HISTONE DEACETYLASE INHIBITORS FOR THE TREATING DEGENERATIVE DISEASES OF THE EYE"

and described in a United States Patent Application filed with the United States Patent and Trademark Office on <u>April 18, 2005</u>, and further identified by Attorney Docket No. <u>2395 US F</u>; and

WHEREAS, ALCON, INC., a company organized under the laws of Switzerland and having a place of business at P. O. Box 62, Bösch 69, CH-6331 Hünenberg, Switzerland is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of inventor:	Peggy E. HELLBERG
Address:	3002 Oak Cove Road
Inventor's signature:	Arlington, Texas 76017 Leggy Helllery
Date:	4-15-05
Citizenship:	United States of America
STATE OF TEXAS	§
COUNTY OF TARRANT	§
On this 15th day of	, 2005, before me personally appeared

Peggy E. Hellberg, to me known to be the person named in and who executed the above instrument,

and acknowledged to me he/she executed the same for the uses and purposes therein set forth.

BARBARA McKENZIE

BARBARA MCKENZIE NOTARY PUBLIC STATE OF TEXAS My Comm. Exp. 10-30-2005

Docket No. 2395 US F



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 25, 2004

PTAS

102592388A

ALCON RESEARCH, LTD. TERESA J. SCHULTZ R&D LEGAL COUNSEL, Q-148 6201 S. FREEWAY FORT WORTH, TX 76134-2099

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 10/27/2003

REEL/FRAME: 014652/0756

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HELLBERG, PEGGY E.

DOC DATE: 10/23/2003

ASSIGNEE:

ALCON, INC.

P.O. BOX 62, BOSCH 69

HUNENBERG, SWITZERLAND CH-6331

SERIAL NUMBER: 10694309 FILING DATE: 10/27/2003

PATENT NUMBER: ISSUE DATE:

TITLE: HISTONE DEACETYLASE INHIBITORS FOR TREATING DEGENERATIVE DISEASES

OF THE EYE

RECEIVED

JUN 0 1 2004

R & D COUNSEL

014652/0756 PAGE 2

SAUNDRA BALLENGER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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Name of Person Signing

11-05-2003

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5	Form PTO-1595 RE		U.S. DEPARTMENT OF COMMERCE
3	(Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)	592388	U.S. Patent and Trademark Office
	Tab settings	<u>_</u>	▼ ▼
	To the Honorable Commissioner of Patents and Trademark	s: Please record the attached or	iginal documents or copy thereof.
	Name of conveying party(ies):	2. Name and address	of receiving party(ies)
	Peggy E. Hellberg	Name:	Alcon, Inc.
		Internal Address:	
	Additional name(s) of conveying party(les) attached? 📮 Yes 🛂 No		
	3. Nature of conveyance:		
	🖾 Assignment 🖫 Merger		
	☐ Security Agreement ☐ Change of Name	Street Address:	
		PO	Box 62, Bösch 69
	☐ Other		
		City: Hünenberg	State Switzerland Zip: CH-6331
	Execution Date:10/23/2003	- Additional name(s) & add	dress(es) attached? 📮 Yes 🛂 No
	4. Application number(s) or patent number(s):		National Workston
	If this document is being filed together with a new ap	plication, the execution dat	te of the application is: 10/27/2003
	A. Patent Application No.(s) 10694309	B. Patent No.(s)	, , , , , , , , , , , , , , , , , , , ,
	1001901	`,	
	Additional numbers	attached?	
	5. Name and address of party to whom correspondence		ications and patents involved: 1
	concerning document should be mailed:	o. Total Hamber of appli	ications and paterits involved.
	Name:Teresa J. Schultz	7. Total fee (37 CFR 3.	.41)\$
	Internal Address:	☐ Enclosed	
	Internal Address:	L	e charged to deposit account
	Alcon Research, Ltd.	- Authorized to be	e charged to deposit account
	R&D Legal Counsel, Q-148		
		8. Deposit account nur	mber:
	Street Address:		504054
	6201 South Freeway		501051
	City: Fort Worth State: TX Zip: 76134-2099	(Attach duplicate copy of	this page if paying by deposit account)
4/2003		<u> </u>	
C:8021	DO NOT US	SE THIS SPACE	
D 9 O V 62.1	9. Statement and signature.		
	To the best of my knowledge and belief, the foregoing is a true copy of the original document.	g information is true and co	orrect and any attached copy
		rese a Schult	27014.2003
	<u> </u>	LUMBA VAT \ LAVANIJA	

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

Docket #2395 US

10/694309

ASSIGNMENT

WHEREAS I am the below named inventor of the invention entitled:

"HISTONE DEACETYLASE INHIBITORS FOR THE TREATING DEGENERATIVE DISEASES"

and described in a United States Patent Application filed with the United States Patent and Trademark Office on 27 October 2003, and further identified by Attorney Docket No. 2395 US; and

WHEREAS, ALCON, INC., a company organized under the laws of Switzerland and having a place of business at P. O. Box 62, Bösch 69, CH-6331 Hünenberg, Switzerland is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of inventor:

Peggy E. HELLBERG

Address:

3002 Oak Cove Road Arlington, Texas 76017

Inventor's signature:

10-23-03

Date:

Citizenship:

United States of America

STATE OF TEXAS

§ 8

COUNTY OF TARRANT

8

On this day of 0, 2003, before me personally appeared Peggy E. Hellberg, to me known to be the person named in and who executed the above instrument, and acknowledged to me he/she executed the same for the uses and purposes therein set forth.

Notary Public

BARBARA MCKENZIE
NOTARY PUBLIC
STATE OF TEXAS
My Comm. Exp. 10-30-2005

O:ALCON RESEARCH, LTD. CO! ANY:6201 SOUTH FREEWAY



UNITED STATES PATENT AND TRADEMARK OFFICE

Facsimile Transmission

To:

Name:

ALCON RESEARCH, LTD.

Company:

6201 SOUTH FREEWAY

Fax Number: Voice Phone:

18175514610

From:

Name:

ASSIGNMENT SERVICES BRANCH

Voice Phone:

571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

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1	Cover Page
2	450.TXT
4	Document 1, Batch 1003323

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18/16/07

8/10/2007 9:03:56 AM PAGE

2/004

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O:ALCON RESEARCH, LTD. CO: ANY:6201 SOUTH FREEWAY



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 09, 2007

PTAS



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ALCON RESEARCH, LTD. 6201 SOUTH FREEWAY MS TB4-8 FORT WORTH, TX 76134-2099

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RECORDATION DATE: 08/09/2007

REEL/FRAME: 019672/0888

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: 2395 US A

ASSIGNOR:

HELLBERG, PEGGY E.

DOC DATE: 08/09/2007

ASSIGNEE:

ALCON, INC.

P.O. BOX 62

PATENT NUMBER:

BOSCH 69, SWITZERLAND CH-6331

SERIAL NUMBER: 11836309

FILING DATE:

ISSUE DATE:

TITLE: HISTONE DEACETYLASE INHIBITORS FOR TREATING DEGENERATIVE DISEASES

OF THE EYE

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

USPTO 8/10/2007 9:03:56 AM PAGE 3/004 Fax Server

O:ALCON RESEARCH, LTD. CON ANY:6201 SOUTH FREEWAY

019672/0888 PAGE 2

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION OTPTO

8/10/2007 9:03:56 AM PAGE 4/004 Fax Server

O:ALCON RESEARCH, LTD. CO ANY:6201 SOUTH FREEWAY

PATENT	ASSIGN	MENT
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08/09/2007 500331418

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVE	YANCE:		ASSIGNMENT	
CONVEYING PARTY	DATA			
			1	
Peggy E. Hellberg		<u> </u>	Name	08/09/2007
reggy E. Heliberg				08/09/2007
RECEIVING PARTY	DATA			
Name:	Alcon, Inc.	·		
Street Address:	P.O. Box 62			
City:	Bosch 69			
State/Country:	SWITZERLA	ND		
Postal Code:	CH-6331			
Property Type Number				
Property T Application Number:		Number 11836309		
Application (Author).				
CORRESPONDENCE	E DATA			
Fax Number: (817)551-4610				
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.				
Phone: 817-615-5330				
Email: jason.derry@alconlabs.com				
Correspondent Name: Alcon Research, Ltd.				
Address Line 1: 6201 South Freeway				
Address Line 2: MS TB4-8				
Address Line 4: Fort Worth, TEXAS 76134-2099				
ATTORNEY DOCKET	ATTORNEY DOCKET NUMBER: 2395 US A			
NAME OF SUBMITTE	IAME OF SUBMITTER: Jason J. Derry			
Total Attachments: 2				

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Peggy E. Hellberg	08/09/2007

RECEIVING PARTY DATA

Name:	Alcon, Inc.
Street Address:	P.O. Box 62
City:	Bosch 69
State/Country:	SWITZERLAND
Postal Code:	CH-6331

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11836309

CORRESPONDENCE DATA

Fax Number:

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Phone:

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Address Line 1:

6201 South Freeway

Address Line 2:

MS TB4-8

Address Line 4:

Fort Worth, TEXAS 76134-2099

ATTORNEY DOCKET

NUMBER:

2395 US A

NAME OF SUBMITTER:	Jason J. Derry
Signature:	/Jason J. Derry, Reg. 50,692/
Date:	08/09/2007

Total Attachments: 2

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RECEIPT INFORMATION

EPAS ID:

PAT340874 08/09/2007

Receipt Date: Fee Amount:

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United States Patent and Trademark Office





Electronic Patent Assignment System

Confirmation Receipt

PATENT ASSIGNMENT

Your assignment has been received by the USPTO. The coversheet of the assignment is displayed below:

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		ASSIGNMENT	
CONVEYING PA	RTY DATA		
Name Peggy E. Hellberg			Execution Date
RECEIVING PAI	RTY DATA		
Name:	Alcon, Inc.		
Street Address:	P.O. Box 62		Docketed By:
City:	Bosch 69		D/A (AL) ML AR
State/Country:	SWITZERLAND		
Postal Code:	CH-6331		AUG 1 6 2007
PROPERTY NUMBERS Total: 1			CT
Property Type		Numbe	er M/R
Application Number: 1183		1836309	
CORRESPONDE Fax Number: Correspondence will be Phone: Email: Correspondent Name: Address Line 1: Address Line 2:	(817)551-46 sent via US Mail wh 817-615-53; jason.derry@ Alcon Reset 6201 South MS TB4-8	en the fax attempt is unsuccessful. 10 Dalconlabs.com rch, Ltd.	

ATTORNEY DOCKET 2395 US A **NUMBER:** Jason J. Derry NAME OF SUBMITTER: /Jason J. Derry, Reg. 50,692/ Signature: 08/09/2007 Date: **Total Attachments: 2** source=2395US_A_8807#page1.tif source=2395US_A_8807#page2.tif RECEIPT INFORMATION PAT340874 EPAS ID: Receipt Date: 08/09/2007 \$40 Fee Amount:

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ASSIGNMENT

WHEREAS I am the below named inventor of the invention entitled:

"HISTONE DEACETYLASE INHIBITORS FOR TREATING DEGENERATIVE DISEASES OF THE EYE"

and described in a United States Patent Application filed with the United States Patent and Trademark Office on August 9, 2007, and further identified by Attorney Docket No. 2395 US A; and

WHEREAS, ALCON, INC., a company organized under the laws of Switzerland and having a place of business at P. O. Box 62, Bösch 69, CH-6331 Hünenberg, Switzerland is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the

foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of inventor:

Peggy E. HELLBERG

Address:

3002 Oak Cove Road Arlington, Texas 76017

Inventor's signature:

8-9-07

Date:

United States of America

Citizenship:

STATE OF TEXAS

§ §

COUNTY OF TARRANT

§ 8

On this 9th day of August, 2007, before me personally appeared **Peggy E. Hellberg**, to me known to be the person named in and who executed the above instrument, and acknowledged to me he/she executed the same for the uses and purposes therein set forth.



Odow Ann Weinscherk Notary Public

Docket #: 2395 US A